

**UBS AG** 

Europastrasse 2 8152 Opfikon Switzerland

OQ9C / O5GC-248 INFO MGMT / HEDGE FUNDS / DEFAULT Stephan Gfeller

+41 44 235 62 83 stephan.gfeller@ubs.com

www.ubs.com

#### BY REGISTERED MAIL

U.S. Bankruptcy Court for the Southern District of N.Y. One Bowling Green New York, N.Y. 10004 USA

# Message

November 05, 2019

#### subject Lehman Brothers Holdings Inc. Chapter 11 Case No. 08-13555

On behalf of	Attention: Clerk	k of the Court			
you are receiving	Evidence of partial transfer of claim: from Credit Suisse AG to UBS AG				
for your informatio for your records as agreed please complete	n	returned with thanks please comment please sign		please return please confirm receipt please process	
Remarks TOCE233 USD 200	0'000.00 - CH00	)27120622 - LBT BV			
		AG / Claim Number: 55 e 45, CH-8001 Zurich (			

Yours sincerely,

UBS AG

Stephan Gfeller Associate Director NOV 13 2019

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#### EVIDENCE OF TRANSFER OF CLAIM

#### TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged Credit Suisse (Schweiz) AG ("Transferor") unconditionally and irrevocably transferred to UBS AG ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim Claim Nr. 55829 relating to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED ON November 4, 2019.

Credit Suisse (Schweiz) AG

Name: Adrian Graf

Title: AVP

Name: Sandro Hunziker

Title: AVP

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### **SCHEDULE I**

## **Lehman Programs Securities Related to Transferred Portion of Claim:**

ISIN	Court Claim #	Date Claim Filed	Issuer	Number of Units or Currency and Nominal Amount
CH0027120622	55829	October 29, 2009	Lehman Brothers Treasury BV	USD 200'000